E-mail instructions@stanlib.com

STANLIB

Additional Investment Form

Collective Investments (Unit Trusts)

- This application form is solely for the additional investment purposes (Top-up deposits only).
- For debit order, cash flow and details amendments, the change of details form is to be used.

The abovementioned forms can be found on www.stanlib.com/Individuals/Investwithus/Pages/ApplicationForms.aspx if required. A copy of this form must be sent to the Manager and the Financial Adviser must also retain a complete copy. In terms of the FAIS Act, the Financial Adviser that dealt with the Client must deliver the original to the Client for safe custody. All amendments / changes made on the application form are to be initialled by the investor.

CLIENT DETAILS

ENTITY NUMBER

NAME & SURNAME / ENTITY NAME *

IDENTITY / PASSPORT / REGISTRATION NUMBER *

SOURCE OF INCOME *

CODE:

01. Gifts / inheritance / winnings	**04.** Passive income (Rental, Dividends, Interest)	**07.** Retirement / insurance pay out
02. Trade / business	**05.** Savings	**08.** Salary / bonus
03. Credit	**06.** Child / spousal support payments	**09.**Tax refund

***Compulsory Section**

PURPOSE OF INVESTMENT *

CODE:

01. Start and expand a business	**02.** Education savings	**03.** Foreign exchange hedging	**04.** Save for retirement / financial goals	**05.** Winding up estate

***Compulsory Section**

IF YOUR CELL NUMBER AND EMAIL ADDRESS HAVE CHANGED, PLEASE UPDATE BELOW

CELL NO

EMAIL

FATCA / CRS SELF-CERTIFICATION DECLARATION FOR INDIVIDUALS

As part of STANLIB's obligation to comply with the U.S Foreign Account Tax Compliance Act (FATCA) we require you to provide us with your tax information. This tax information will be kept on record and will be disclosed to the relevant tax authorities as and when required as per the FATCA regulation.

The information contained under this section is not tax advice. We recommend that you consult a professional tax or legal advisor for specific tax or legal advice. Each Controlling person needs to complete an individual IRS W-9 form for Tax identification and Certification as found on the IRS website: https://www.irs.gov/pub/irs-pdf/fw9.pdf.

CITIZENSHIP DETAILS *

ARE YOU A USA CITIZEN? YES NO

If you have selected 'YES', please complete an individual IRS W-9 form for Tax identification and Certification found on the IRS website: https://www.irs.gov/pub/irs-pdf/fw9.pdf.

TAX INFORMATION *

ARE YOU A REGISTERED TAX PAYER? YES NO

ARE YOU A REGISTERED TAX PAYER IN THE USA? YES NO

ARE YOU REGISTERED TAX PAYER IN MULTIPLE COUNTRIES? YES NO

1. By ticking 'No' you confirm that you are not registered for Tax and you are still required to complete country(ies) of tax residency on the table below.
2. If 'YES' to any of the above, please list all countries in which you are a resident for tax purposes and provide the associated Tax Identification Numbers on the table below.
3. By ticking 'Not applicable' on the table below, you confirm that the country specified does not issue Tax Identification Numbers.

Country(ies) of Tax Residency *	Tax Identification Number *	Not Applicable
1.		
2.		
3.		
4.		
5.		

***Compulsory Section**

INVESTMENT DETAILS

PAYMENT TYPE ☐ LUMP SUM: DIRECT DEPOSIT (PROOF OF DEPOSIT REQUIRED) ☐ LUMP SUM: ONCE OFF DEBIT ☐ RECURRING PLAN: RECURRING DEBIT ORDER

The minimum ad-hoc amount is determined by the fund selection. Please refer to our website for more information regarding funds and fees. If the initial manager charge is not specified, zero fees will be applied. If the initial manager charge specified is higher than the maximum fee, the maximum will be applied.

UNIT TRUST INVESTMENT SELECTION

Fund Name	Allocate to existing account number (Select either Y/N)	Account Number	Lump sum investment amount	New recurring debit order investment amount	Initial Financial Adviser Charge (excl. VAT)
	☐ YES ☐ NO		R	R	%
	☐ YES ☐ NO		R	R	%
	☐ YES ☐ NO		R	R	%
	☐ YES ☐ NO		R	R	%
	☐ YES ☐ NO		R	R	%

***If an existing fund is selected and no selection to allocate to an existing account number is indicated, we will process on the existing account number. If no selection is made regarding allocation to the existing account number, we will allocate a new account number.**

SIGNATURE OF CLIENT/ AUTHORISED SIGNATORY*

DATE D D - M M - Y Y Y Y

SIGNED AT

SIGNATURE OF FINANCIAL ADVISER

DATE D D - M M - Y Y Y Y

SIGNED AT

DEPOSIT REFERENCE - PROOF OF DEPOSIT REQUIRED

Note: To identify the applicable deposit reference number to be used, please refer to points 5 & 6 of the Terms and Conditions.

DEPOSIT AMOUNT R .

DEPOSIT DATE D D - M M - Y Y Y Y

APPLICABLE DEPOSIT REFERENCE

DEBIT ORDER DETAILS FOR A ONCE-OFF DEBIT ORDER COLLECTION

ONCE-OFF DEBIT AMOUNT R .

ONCE-OFF DEBIT DATE ☐☐ - ☐☐ - ☐☐☐☐
D D M M Y Y Y Y

*** If no debit date is supplied the debit order will run two days after receipt of a fully completed application form without any outstanding requirements.**

A maximum of R1 million may be debited from a Investor's bank account. I authorise the Manager to arrange with my bank for the payment of the investment amounts as indicated above, including amendments that may be made during the life of the investment on the specific day as indicated. If a Investor requests a once off collection of more than R1 million, the transaction will be loaded over three days at R1 million per day to a maximum of R3 million rand. The normal 45 day clearing period for once off collections will remain in force. Debits can only be loaded 2 business days after receiving the completed information.

DEBIT ORDER DETAILS FOR A NEW RECURRING DEBIT ORDER

DEBIT ORDER PREFERRED DATE ☐ 1ST (DEFAULT DATE) ☐ 15TH ☐ 25TH COMMENCEMENT DATE ☐☐ - ☐☐☐☐
M M Y Y Y Y

PAYMENT FREQUENCY ☐ MONTHLY ☐ QUARTERLY ☐ BI-ANNUALLY ☐ ANNUALLY

***If no date is selected, we will default to the 1st of the following month.**

***If the payment frequency is not selected, we will default to monthly.**

ANNUAL CONTRIBUTION INCREASE FOR A RECURRING DEBIT ORDER

Should you wish to increase your recurring debit order premium automatically each year, please indicate this below:

PERCENTAGE INCREASE PER ANNUM ☐ 5% ☐ 10% ☐ 15% ☐ 20%

AMOUNT INCREASE PER ANNUM R ☐☐☐☐☐☐☐☐ . ☐☐

EFFECTIVE DATE ☐☐ - ☐☐ - ☐☐☐☐
D D M M Y Y Y Y

BANKING DETAILS FOR A ONCE-OFF DEBIT COLLECTION / RECURRING DEBIT ORDER / LINK TO E-TRADE

E-trade refers to the electronic portal designed to provide Investors with a service to actively manage their portfolios and instruct trades at their discretion. Transactions are executable with a computer or smartphone device and are made quickly with no paperwork required. Typically the user will login to the STANLIB Online website and process transactions on their portfolios. **These transactions can be additional investments, switches, redemptions and regular cash flow plans (CFPs)**. This service is appealing to investors as it allows more control and easier transactability over their portfolios. "You may want to link to E-trade so that you can always get a trade in quickly when you need to."

Should you require to be linked to e-trade functionality, please tick the box below.

☐ AUTO LINK ME TO E-TRADE

BANK

BRANCH BRANCH CODE

ACCOUNT NUMBER

ACCOUNT TYPE ☐ CHEQUE ☐ SAVINGS ☐ TRANSMISSION

ACCOUNT HOLDER'S ID NUMBER

ACCOUNT HOLDER'S NAME

SIGNATURE OF BANK ACCOUNT HOLDER/ AUTHORISED SIGNATORY

If the debit order is funded by a 3rd party (spouse included):

*For individuals: Certified copy of the third party's identity document with a specimen signature.

*For entities: Standard Bank – Bank account mandate *Other banks – Bank Letter confirming signing authority and a certified copy of identity document with a specimen signature.

*** Market links, Credit cards, Call accounts and Notice deposit accounts are not permitted for debit collections.**

FINANCIAL SERVICES PROVIDER

1. **The investor acknowledges that any fee stipulated on this instruction will be paid to the broker on record.**
2. **If a different adviser needs to be paid the fee for this instruction, a new fully completed change of financial adviser instruction is to be submitted first.**
3. The Investor confirms that he/she has appointed a Financial Services Provider (FSP) whose registered with the Financial Sector Conduct Authority (FSCA) for purposes of his/her dealings with the Manager.

4. The Investor agrees that the Manager will pay the FSP the charges as set out in this application form. Where the FSP is a legal entity (e.g a company or a close corporation) the FSP is represented herein by the natural person identified in the relevant block below. Where the FSP has a Category II FAIS licence and the Investor has mandated the FSP (or it's Representative) to act on his/her behalf in terms of a written agreement a copy of the written agreement must be provided to the Manager. The Manager will not act on instructions from the FSP if it has not received a copy of this agreement. The Manager is obliged by law to decline any instructions from an FSP who is not properly licensed with the Financial Sector Conduct Authority (FSCA).
5. Where the Investor has terminated his FSP's appointment, it is the Investor's responsibility to advise the Manager of such termination immediately. On receipt of such written notification, the Manager will cease payment of all charges, other than accrued charges to the FSP.
6. The Investor understands that where he/she changes FSP the consequences of such change may result in different or new fund and fees structures.

TERMS AND CONDITIONS

1. The terms and conditions signed and agreed to in the Investment Application form will remain in force and apply to this transaction. Refer to your Investment application form for the detailed terms and conditions. Alternatively you can request a copy of the terms and conditions from your Financial Adviser or the Contact Centre on 0860 123 003.
2. Some of the terms and conditions are set out in the Investment Application Form and the remainder is set out in this form. The Client agrees to be bound by the terms and conditions as set out in the Investment Application Form as well as those set out in this form. In the event of a conflict between the terms and conditions in the Investment Application Form and the terms and conditions contained in this Additional Investments form, the terms and conditions in this form will apply.
3. The Client agrees to provide all documentation and information in terms of the Financial Intelligence Centre Act, No 38 of 2001, and understand that the Manager is prohibited from processing any transaction on the Client's behalf until all such documentation and information has been received. (Access the STANLIB website on www.stanlib.com to view the applicable FICA requirements).
4. The Manager reserves the right in its sole discretion to reduce or increase the service charges depending on circumstances. it is the Client's responsibility, or where applicable that of the Client's Financial Adviser, to determine the relevant charge structures applicable to the investment. The charges on the Client's Investment will be reflected in Client's quarterly statements.(For further details please refer to STANLIB online on www.stanlib.com to view the applicable charges).
5. Payment references used for deposits should be according to the requested reference on the Additional investment form. Funds will be allocated if:
   5.1. The account number supplied as a payment reference,
   5.2. An entity number is supplied and only one UT portfolio exists,
   5.3. An ID number was used as a payment reference and only one UT portfolio exists.
6. Money will not be allocated to portfolios if:
   6.1. An entity number is supplied as payment reference but multiple UT portfolios exist,
   6.2. No Entity or UT account number was supplied.
7. Financial Adviser negotiates the initial charge with the Client, and should depend on the level of professional advise/service rendered. The Manager may at its sole discretion, vary the percentage of the initial charge that it pays to the Financial Adviser.
8. All portfolios are valued daily at 15h00, except for fund of funds which are valued at 24h00. For non-money market funds, investments and repurchases will receive the price of the same day if a complete instruction is received prior to 15h00.
9. For money market funds, investments will receive the price of the same day if a complete instruction is received prior to 12h00, and repurchases will receive the price of the following day if a complete instruction is received prior to 12h00.
10. **Electronic Transactions:** the Client agrees that the Manager shall be entitled to implement all instructions and applications of whatever nature received on their Internet site, by telephone or any other electronic medium and which appear to emanate from the Client. The Financial Advisor and/or Manager is indemnified against any losses, claims or damages arising from acting on such instructions and/or applications, notwithstanding that it may later be proved that any such instruction was not given by the Client. The Client agrees that the electronic records of all instructions and applications processed by/or on behalf of him/her or which purport to be processed on behalf of the Client via the Manager's Internet site, telephone or any other electronic medium shall constitute prima facie proof of the contents of such instructions and applications.
11. **Privacy:** It is important to us that you understand how we obtain, process, store, and share your information. This will apply to all the products and/or services which we provide to you, including any products and/or services provided by our affiliates and associates. When you open an investment with us, you give us permission to disclose information for the purposes described herein, including the information of dependents and beneficiaries. You consent to STANLIB collecting, processing, storing, and disclosing this information for the purposes of:
   11.1. Administering your investment account and processing any instructions on the account.
   11.2. Communicating with you and your Financial Adviser/ broker.
   11.3. Providing your information to any entity within Standard Bank Group Limited, including its subsidiaries and affiliates, where you already have a relationship with, or where you have applied for a product or benefit from, such entity. This information will only be shared for the administration of your products or benefits by this entity.
   11.4. Providing relevant information to a contracted third party who requires the information to provide a service to you for your investment. We will ensure that the third party agrees to keep your information confidential and appropriately secured.
   11.5. Transferring your Personal Information outside the borders of the Republic of South Africa. We will ensure that anyone to whom we pass on your Personal Information agrees to treat your information with the same level of protection as we do.
   11.6. Providing information to industry registers such as ASISA, and contracted third parties, such as tracing agents, attorneys, debt collectors and other persons that assist with the enforcement of agreements.
   11.7. Providing your information to regulatory authorities, governmental departments, local and international tax authorities and other persons that STANLIB under the law have to share your information with.
   11.8. Payment processing for services providers, merchants, banks and other persons that assist with the processing of your payment instructions.
   11.9. Assisting law enforcement and fraud prevention agencies and other persons tasked with the prevention and prosecution of crime.
   11.10. Persons to whom STANLIB cede their rights or delegate their obligations to under agreements.
   11.11. Conducting research or servicing products. Where appropriate, this information will be de-identified such that it cannot be linked back to you personally.
   11.12. We undertake solely to collect and process your information as permitted by law. If you feel we have not done so, you have the right to contact us and object.
   11.13. We will take reasonable steps to ensure that all Personal Information you provided to us is kept secure and confidential.
   11.14. We will keep your Personal Information until such time as we are compelled to delete it, as prescribed by applicable law.
   11.15. If we become involved in a proposed or actual merger, acquisition, or any form of sale of assets, we may use and disclose your Personal Information to third parties in connection with the evaluation of the transaction. The surviving company, or the acquiring company in the case of a sale of assets, would have access to your Personal Information, which would continue to be subject to these terms.
   11.16. You have the right to request a copy of the Personal Information we hold about you. We are allowed to charge you a fee to provide this information to you.
   11.17. You have the right to request that we update, correct, or delete your Personal Information.
12. **Query Support and Middle Office**: STANLIB Compliance and Complaints, PO Box 202, Melrose Arch, 2076 Telephone: 0860 123 003.
13. **Complaints:** Should the Client wish to lodge a complaint with STANLIB regarding the services being provided, the Client can locate STANLIB's Complaints procedure on www.stanlib.com/contactus/pages/furtherqueries.aspx, alternatively the Client can send an email to rateus@stanlib.com.
14. **FAIS Ombudsman details:** Block B, Sussex Office Park, 473 Lynwood Road, Pretoria, 0081. Telephone 012 470 9080.

INVESTOR DECLARATION

1. I/We acknowledge that I/We provide consent to STANLIB to collect, process, store, disclose and share my Personal Information for the purpose of servicing my investment.
2. I/We agree to provide all documentation and information requested in this document and further required by law and consent to STANLIB processing my information for the purposes stipulated within the Terms and Conditions.
3. I/We confirm that all information provided herein is true and correct and that I/We have read and understood the contents of this form.
4. I/We acknowledge and accept that the information contained in this form and information about the Account Holder may be provided to SARS. Further, that SARS may also exchange the information with the tax authorities of another country or countries in which the Account Holder may be tax resident.

If the information you have provided in this form changes in future, please submit a new form within 30 days. If you are not the Account Holder please indicate the capacity in which you are signing the form. If signing under a power of attorney please also attach a certified copy of the power of attorney.

SIGNATURE OF CLIENT / AUTHORISED SIGNATORY *

DATE D D - M M - Y Y Y Y

SIGNED AT

CAPACITY

SIGNATURE OF FINANCIAL ADVISER

DATE D D - M M - Y Y Y Y

SIGNED AT