# Stanlib

# Withholding Tax on Interest: Reduced Rate

### Collective Investments (Unit Trusts)

Declaration and Undertaking to be made by the beneficial owner of interest (Reduced Rate from Withholding Tax on Interest)

#### Notes on completion of this form:

- This form is to be completed by the foreign person to or for the benefit of which the interest is paid in order for the reduced rate of withholding tax on interest, referred to in section 50E(3) of the Income Tax Act, 1962 (Act No 58 of 1962)(the Act) as well as the provisions of the Agreement for the Avoidance of Double Taxation and Prevention of Fiscal Evasion (DTA) between the Republic of South Africa and the country of residence of the beneficial owner, to apply.
- In order to qualify for a reduced rate as referred to above, this declaration and written undertaking should be submitted to STANLIB before payment of the interest. Failure to do so will result in the full 15% tax on interest being withheld/payable.
- If this declaration is made as a result of a change in circumstances of the beneficial owner, please ensure that the date from which the change is effective is completed in the sections below.
- Where the beneficial owner is a foreign resident but does not qualify for a reduced rate this form should NOT be completed.

#### PART A: WITHHOLDING AGENT

This part is to be pre-populated by the company or regulated intermediary																			
REGISTERED NAME*																			
INTEREST TAX REFERENCE NUMBER																			
CONTACT DETAILS																			

\*Compulsory fields

#### PART B: BENEFICIAL OWNER

This part is to be completed by the person entitled to the benefit of the interest.

CLIENT TYPE																							
CLIENT TYPES	NATURAL PERSON J				JURISTIC																		
NATURAL PERSON	INDI					EIGN VIDU			I	INSOLVENT ESTATE DIRECTOR OF PRIVATE COMPANY/MEMBER OF A CC													
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JURISTIC	ASSOCIATION NOT FOR GAIN				CLU	BS				CLOSE CORPOR CORPORATION LIQUIDA							RATE: ESTATE / FION						
	ESTATE LATE				FOREIGN COMPANY				LISTED COMPANY														
	OTHER COMPANY					PARTNERSHIP					RETIREMENT FUND (PENSION, PROVIDENT, BENEFIT, RA)												
	RSA GOVERNMENT, PRO ADMINISTRATION, MUNIC									5	SOCIETY					STOKVEL							
	TRU	JST					ISTEI /IPAN`				UNINCORPORATED BODY OF PERSONS												
FULL NAMES SURNAME / REGISTERED NAME*																							
IDENTITY/PASSPORT/REGISTRATION NUMBER*																							
TAX REFERENCE NUMBER*																							
COUNTRY IN WHICH RESIDENT FOR TAX PURPOSES:																							
INVESTMENT/ENTITY NUMBER																							

\*Compulsory fields



## CLIENT'S PHYSICAL ADDRESS \*

		-																							
COMPLEX / UNIT / HOUSE NUMBER *																									
COMPLEX NAME / ESTATE *																									
STREET NUMBER *						1																1	1		
STREET NAME / FARM NAME / AREA NAME *																									
SUBURB / DISTRICT *																									
CITY / TOWN *										<u> </u>	<u> </u>									<u> </u>					
COUNTRY *														-	-				COD	E*					
*Compulsory section																									
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DECLARATION IN TERMS	OF S	SECI	ΓIC	)N 5	OE	(3)	OF	тне	E AC	CT:															
I														(1	full nai	nes ir	n print	pleas	e), the	e und	ersigne	ed he	reby		
declare that all the relevant requirements in	n terms o	of Artic	cle			of t	he Ag	reem	ent fo	r the A	voida	nce	of Dou	uble Ta	axation	and F	Prever	ntion o	f						
Fiscal Evasion (DTA) in force on the releva	nt date b	etwee	en the	e Repi	ublic c	of Sou	th Afr	ica ar	nd the	count	ry of re	eside	ence o	f the b	enefic	ial ow	ner sp	ecifie	d in Pa	art B	above	, have	been	met a	and that
the interest is therefore subject to a reduce	d rate of			%		7					_														
THE DATE FROM WHICH THE DECLARATION IS EFFECTIVE	D	D	-	M	M	-				Y															
<ol> <li>Processing of personal information "processing" as defined in POPIA) you</li> <li>STANLIB will only process your inform         <ol> <li>To provide you with products and ser</li> <li>To manage and administer your inver</li> <li>To communicate with you and/or you</li> <li>To comply with your instructions or tt</li> <li>To monitor and/or record telephone instructions or those of your financial adv</li> <li>For payment processing for services</li> <li>To provide your information to an em and services of the Standard Bank Group</li> <li>To provide relevant information to a third p</li> <li>To send your information to a third p</li> </ol> </li> </ol>	r person ation fo vices; stments; r financia he instruc- calls an iser and provide ity withir ; ontracte ncial Se arty to p	al advis ctions d elect for yo rs, me n the S ed third ervices	form follo ser; of your pour pour pour pour pour pour pour p	bur fina ic tran rotection dard B dard B ty who change	purp ancial sactio on. anks a ank C requi e (Pty n che	advis advis ons w and ot Group, res th ) Ltd t	er; ith yo her po inclu e info radin	u (inc erson ding i rmatic g as <i>i</i>	cluding is that ts sub on to p Astute	g the assist sidiari provide whe	collec t with t ies an e a se re this	tion the p d affi rvice i is n	of you roces iliates, to you ecess	ir biom sing o , for th u for ye ary to	netric o f your e purp our inv fulfil c	data w paym ose o restme	vhere ent ins f impr ent;	neces structi oving	ssary) ons; our b	) in or usine	rder to ess and	accui d serv	rately	carry r the t	out your
<ol> <li>1.11. To assist in enhancing our services</li> <li>1.12. For analysis in order to assess and</li> <li>1.13. To verify your identity;</li> </ol>	-					vices	or the	busir	ness a	nd se	rvices	of th	ie Sta	ndard	Bank	Group	);								

1.14. To detect and prevent fraud or money laundering:

1.15. To comply with laws and public duties;

1.16. In the interests of security and crime prevention:

1.17. For operational, marketing, audit, legal and record keeping purposes;

1.18. To transfer your personal information outside the borders of the Republic of South Africa where this is necessary to fulfil our servicing obligations. Where your personal information is transferred offshore, STANLIB confirms that adequate measures are in place to ensure the protection of your personal information and shall transfer your personal information offshore in accordance with the applicable requirements for trans-border information flow in terms of POPIA

1.19. To provide your information to industry registers such as ASISA, and contracted third parties, such as tracing agents, attorneys, debt collectors and other persons that assist with the enforcement of agreements;

1.20. To provide your information to regulatory authorities, governmental departments, local and international tax authorities and other persons that STANLIB under the law has to share your information with;

1.21. To provide your information to persons to whom STANLIB cedes its rights or delegates its obligations to under agreements; and;

1.22. If we become involved in a proposed or actual merger, acquisition, or any form of sale of assets, we may use and disclose your information to third parties in connection with the evaluation of the transaction. The surviving company, or the acquiring company in the case of a sale of assets, would have access to your information, which would continue to be subject to these terms

We will take reasonable steps to ensure that your information is kept secure and confidential. We will ensure that a third party that we share your information with agrees to keep your information confidential and appropriately secured. We will keep your information until such time as we are compelled to delete it, as prescribed by applicable law.

We undertake solely to collect and process your information as permitted by law. If you feel we have not done so, you have the right to object. You have the right to access, correct and delete the personal information that is held about you.

To object to the processing of your personal information by STANLIB or correct, delete, or obtain a copy of the personal information STANLIB holds about you, you may email us on: contact@stanlib.com or write to STANLIB's Information Officer at: P O Box 202, Melrose Arch, 2076. or a Iternatively, you can email STANLIB's information officer at privacy@stanlib.com.

IWe agree to provide all documentation and information requested in this document and further required by law and consent to STANLIB processing my information for the purposes stipulated within the Terms and Conditions.

Query Support and Middle Office: STANLIB Compliance and Complaints, PO Box 202, Melrose Arch, 2076 Telephone: 0860 123 003. Complaints: Should the Client wish to lodge a complaint with STANLIB regarding the services being provided, the Client can locate STANLIB's Complaints procedure on www.stanlib.com/contactus/pages/furtherqueries.aspx, alternatively the Client can send an email to rateus@stanlib.com

SIGNATURE (DULY AUTHORISED TO DO SO)			DATE	D	D	- M	M	-	Y	Y Y	Y	
CAPACITY OF SIGNATORY (IF NOT THE BENEFICIAL OWNER)			1									
UNDERTAKING IN TERMS	OF SECTION 5	50E(3) OF THE A	ACT:									
I				(full n	ames	in print	please	e), the	e und	ersign	ed un	ndertake
to forthwith inform the Withholding A	Agent in writing she	ould the circumstanc	es of the beneficial	owner	referi	ed to in	the d	eclara	ation	above	char	nge.
			DATE			-		-				
SIGNATURE (DULY AUTHORISED TO DO SO)				D	D	М	М		Y	ΥΥ	Y	1
CAPACITY OF SIGNATORY (IF NOT THE BENEFICIAL OWNER)			•									

