

# Withholding Tax on Interest: Exemption Collective Investments (Unit Trusts)

Declaration and Undertaking to be made by the beneficial owner of interest (Exemption from Withholding Tax on Interest)

Notes on completion of this form:

- This form is to be completed by the foreign person to or for the benefit of which the interest is paid in order for the exemptions from withholding tax on interest, referred to in section 50D(3) read with section 50E(2) of the Income Tax Act, 1962 (Act No 58 of 1962)(the Act), to apply
- In order to qualify for an exemption as referred to above, this declaration and written undertaking should be submitted to STANLIB before payment of the interest. Failure to do so will result in the full 15% tax on interest being withheld/payable.
  If this declaration is made as a result of a change in circumstances of the beneficial owner, please ensure that the date from which the change is effective is completed in the sections
- below.
  Non South African residents seeking to qualify for a reduced rate should not complete this form. Please use the form for reduced rates.

#### PART A: WITHHOLDING AGENT

This part is to be pre-populated by the company or regulated intermediary	
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REGISTERED NAME*													
INTEREST TAX REFERENCE NUMBER													
CONTACT DETAILS													

\*Compulsory fields

#### PART B: BENEFICIAL OWNER

This part is to be completed by the person entitled to the benefit of the interest.

CLIENT TYPE																				
CLIENT TYPES		NATUR/	AL PERSON		JURIST	TIC														
NATURAL PERSON		INDIVID			FOREIGN INDIVIDUAL			INSOL	VENT E	STATE	DIRECTOR OF PRIVATE COMPANY/MEMBER OF A CC									
		REFUGI	EE		ASYLU	YLUM SEEKER			PERSONAL SERVICE PROVIDER											
JURISTIC		ASSOCIATION NOT FOR GAIN		CLUBS			CLOSE CORPORATION				CORPORATE: ESTATE / LIQUIDATION									
		ESTATE LATE			FOREIGN COMPANY			LISTED COMPANY												
		OTHER COMPANY		PARTN	ERSHIP	RETIREMENT FUND (PENSION, PROVIDENT, BENEFIT, RA)														
		RSA GC	VERNMENT STRATION,	r, pro Munic	OVINCIAL IICIPALITIES			SOCIETY				STOKVEL								
		TRUST			UNLISTED COMPANY			UNINCORPORATED BODY OF PERSONS												
FULL NAMES SURNAME / REGISTERED NAME*																				
IDENTITY/PASSPORT/REGISTRATION NUMBER*																				
TAX REFERENCE NUMBER*																				
COUNTRY IN WHICH RESIDENT FOR TAX PURPOSES:																				
INVESTMENT/ENTITY NUMBER																				

\*Compulsory fields



## CLIENT'S PHYSICAL ADDRESS

CLIENT'S PHYSICAL ADDRESS *																	
COMPLEX / UNIT / HOUSE NUMBER *																	
COMPLEX NAME / ESTATE *																	
STREET NUMBER *																	
STREET NAME / FARM NAME / AREA NAME *																	
SUBURB / DISTRICT *																	
CITY / TOWN *																	
COUNTRY *													CODI	= *			
*Compulsory section																	
CLIENT'S POSTAL ADDRES	SS																
SAME AS PHYSICAL ADDRESS																	
PO BOX NUMBER																	
POST OFFICE NAME																	
POSTAL CODE																	
PRIVATE BAG NUMBER																	
POST OFFICE NAME																	
POSTAL CODE																	
POSTNET SUITE NUMBER																	
PRIVATE BAG NUMBER																	
POST OFFICE NAME																	
POSTAL CODE																	

### PART C: EXEMPTION

This part is to be completed by the person entitled to the benefit of the interest. Please indicate the reason why the beneficial owner is exempt from the Withholding Tax on Interest:

Ai	Section 50D(3)(a) - Foreign (natural) person physically present in SA for more than 183 days in aggregate during the 12 months prior to the payment
Bi	Section 50D(3)(b) - Debt claim connected with a permanent establishment in the Republic of South Africa (and the foreign person is registered as a taxpayer in SA)
Ci	Exempt / Not taxable in terms of Double Taxation Agreement
Di	Exempt / Not taxable in terms of another International Agreement
Ei	Other / Not able to distinguish to applicable exemption code Note: choosing this option could trigger an audit case

If you have selected Exemption Reason Ei in the table above, please specify the details in the space provided below.



(full names in print please), the undersigned hereby

declare that the foreign person referred to in Part B to or for the benefit of which the interest is paid is exempt from the withholding tax on interest in terms of section 50D(3) of the Act, or otherwise, as indicated above.



1. Processing of personal information : It is important to us that you understand how and why we obtain, use, process, store, verify and share (collectively "process" or "processing" as defined in POPIA) your personal information.

STANLIB will only process your information for the following purposes:

- 1.1. To provide you with products and services
- 1.2. To manage and administer your investments;
- 1.3. To communicate with you and/or your financial adviser; 1.4. To comply with your instructions or the instructions of your financial adviser:
- 1.5. To monitor and/or record telephone calls and electronic transactions with you (including the collection of your biometric data where necessary) in order to accurately carry out your instructions or those of your financial adviser and for your protection.
- 1.6. For payment processing for services providers, merchants, banks and other persons that assist with the processing of your payment instructions; 1.7. To provide your information to an entity within the Standard Bank Group, including its subsidiaries and affiliates, for the purpose of improving our business and services or the business and
- services of the Standard Bank Group; 1.8. To provide relevant information to a contracted third party who requires the information to provide a service to you for your investment;
- 1.9. To send your information to the Financial Services Exchange (Pty) Ltd trading as Astute where this is necessary to fulfil our servicing obligations and/or where your financial adviser has instructed us to do so
- 1.10. To send your information to a third party to perform verification checks on the information provided by you to STANLIB. .
- 1.11. To assist in enhancing our services and your client experience;
- 1.12. For analysis in order to assess and improve our business and services or the business and services of the Standard Bank Group;
- 1.13. To verify your identity;
- 1.14. To detect and prevent fraud or money laundering;
- 1.15. To comply with laws and public duties;
- 1.16. In the interests of security and crime prevention;
- 1.17. For operational, marketing, audit, legal and record keeping purposes;
- 1.18. To transfer your personal information outside the borders of the Republic of South Africa where this is necessary to fulfil our servicing obligations. Where your personal information is transferred offshore, STANLIB confirms that adequate measures are in place to ensure the protection of your personal information and shall transfer your personal information offshore in accordance with the applicable requirements for trans-border information flow in terms of POPIA
- 1.19. To provide your information to industry registers such as ASISA, and contracted third parties, such as tracing agents, attorneys, debt collectors and other persons that assist with the enforcement of agreements;
- 1.20. To provide your information to regulatory authorities, governmental departments, local and international tax authorities and other persons that STANLIB under the law has to share your information with;
- 1.21. To provide your information to persons to whom STANLIB cedes its rights or delegates its obligations to under agreements; and;

1.22. If we become involved in a proposed or actual merger, acquisition, or any form of sale of assets, we may use and disclose your information to third parties in connection with the evaluation of the transaction. The surviving company, or the acquiring company in the case of a sale of assets, would have access to your information , which would continue to be subject to these terms.

We will take reasonable steps to ensure that your information is kept secure and confidential. We will ensure that a third party that we share your information with agrees to keep your information confidential and appropriately secured. We will keep your information until such time as we are compelled to delete it, as prescribed by applicable law.

We undertake solely to collect and process your information as permitted by law. If you feel we have not done so, you have the right to object. You have the right to access, correct and delete the personal information that is held about you.

To object to the processing of your personal information by STANLIB or correct, delete, or obtain a copy of the personal information STANLIB holds about you, you may email us on: contact@stanlib.com or write to STANLIB's Information Officer at: P O Box 202, Melrose Arch, 2076. or a Iternatively, you can email STANLIB's information officer at privacy@stanlib.com.

I/We agree to provide all documentation and information requested in this document and further required by law and consent to STANLIB processing my information for the purposes stipulated within the Terms and Conditions.

Query Support and Middle Office: STANLIB Compliance and Complaints, PO Box 202, Melrose Arch, 2076 Telephone: 0860 123 003.

Complaints: Should the Client wish to lodge a complaint with STANLIB regarding the services being provided, the Client can locate STANLIB's Complaints procedure on

www.stanlib.com/contactus/pages/furthergueries.aspx, alternatively the Client can send an email to rateus@stanlib.com

SIGNATURE (DULY AUTHORISED TO DO SO)		DATE	D D	M M	-	Y Y	Y	Y	
CAPACITY OF SIGNATORY (IF NOT THE BENEFICIAL OWNER)									
UNDERTAKING IN TERMS	OF SECTIONS 50D OR 50E(2)	OF THE ACT:							

1		(full names in print please), the undersigned
undertake to forthwith inform the Withholding Agent in change.	writing should the circumstances of th	he beneficial owner referred to in the declaration above
SIGNATURE (DULY AUTHORISED TO DO SO)	DATE	D D M M Y Y Y
CAPACITY OF SIGNATORY (IF NOT THE BENEFICIAL OWNER)		

